

Docket No. 258096US0PCT/phh



1713 /image

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kenichi FUJINO, et al.

SERIAL NO: 10/505,458 ✓

GAU: 1755

FILED: April 5, 2005

EXAMINER:

FOR: AQUEOUS DISPERSION, METHOD FOR THE PRODUCTION AND THE USES THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Corwin Paul Umbach

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Corwin P. Umbach, Ph.D.
Registration No. 40,211

DOCKET NO.: 258096US0PCT/phh

Page 1 of 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kenichi FUJINO, et al.

SERIAL NO: 10/505,458

GROUP: 1755

FILED: April 5, 2005

EXAMINER:

FOR: AQUEOUS DISPERSION, METHOD FOR THE PRODUCTION AND THE
USES THEREOF

STATEMENT OF RELEVANCY

References AP through AV on Form PTO-1449 are discussed in the specification.

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
258096US0PCT*	10/505,458	04/05/05		FUJINO, et al.
254918US0PCT	10/500,111	06/25/04		TAKAMOTO, et al.
04859-0004-0	5,539,043	07/23/96		KIMURA, et al.
04859-0007-0 DIV	5,559,176	09/24/96		NAMBA, et al.

*Present Application; listed for information
NFO/kch

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

258096US0PCT

SERIAL NO.

10/505,458

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kenichi FUJINO, et al.

FILING DATE

April 5, 2005

GROUP

1755

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	US 5,539,043	07/23/96	KIMURA, et al.			
	AB	US 5,559,176	09/24/96	NAMBA, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

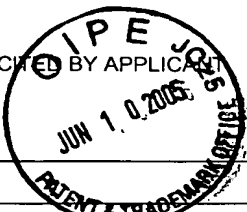
	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 258096US0PCT		SERIAL NO. 10/505,458	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Kenichi FUJINO, et al.			
				FILING DATE April 5, 2005		GROUP 1755	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	WO 03/002659 A1	01/09/2003	WIPO (with English Abstract)			X
	AP	WO 90/12656	11/01/1990	WIPO			
	AQ	8-6009	01/12/1996	JAPAN (with English Abstract)			X
	AR	5-209006	08/20/1993	JAPAN (with English Abstract)			X
	AS	6-80738	03/22/1994	JAPAN (with English Abstract)			X
	AT	3045498	03/17/2000	JAPAN (with English Abstract)			X
	AU	2769958	04/17/1998	JAPAN (with English Abstract)			X
	AV	2001-206914	07/31/2001	JAPAN (with English Abstract)			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.